

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No.:** 09/853,430

**Date Filed:** May 10, 2001  
**Title:** SYSTEMS AND METHODS FOR ANONYMOUS  
ELECTRONIC TRADING  
**Applicants:** Philip M. Ginsberg et al.  
**Group Art Unit:** 3624  
**Examiner:** Olabode Akintola

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as (i) a representation that a thorough search has been made; (ii) an admission that the information cited is, or is considered to be material to patentability; (iii) an admission that no other material information exists; or (iv) an admission that Applicants agree with any statement(s) in the information cited. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97, and 1.98. The items listed on the accompanying PTO Form-1449, Form SB08A, and Form SB08B may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application.

The Examiner is respectfully requested to fully consider the items listed on the enclosed PTO Form-1449, Form SB08A, and Form SB08B, to independently ascertain their teaching, and to initial beside each reference listed. Please return a copy of the initialed citation form to the Applicant's undersigned Attorney.

### **Fees**

Applicants herein authorize the Commissioner to charge Deposit Account No. 50-3938 in the amount of \$180.00 in connection with this Information Disclosure Statement. This Information Disclosure Statement is being filed after the period specified under 37 C.F.R. 1.97(b) and before the mailing date of any final action.

Respectfully submitted,

November 20, 2006  
Date

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